#### **ORIGINAL RESEARCH**

# CLINICAL STUDY OF PULMONARY FUNCTION TESTS IN PATIENTS OF CHRONIC OBSTRUCTIVE PULMONARY DISEASE

## Vivek Bapurao Chavan<sup>1</sup>, Shweta Shirish Deshmukh<sup>2</sup>

<sup>1</sup>Assistant Professor, Department of Medicine, Smt Kashibai Navle Medical College and General Hospital, Narhe, Pune, Maharashtra, India
<sup>2</sup>Assistant Professor & HOD, Department of Medicine, Smt Kashibai Navle Medical College and General Hospital, Narhe, Pune, Maharashtra, India

#### **Corresponding Author:**

Dr Vivek Bapurao Chavan, Assistant Professor, Department of Medicine, Smt Kashibai Navle Medical College and General Hospital, Narhe, Pune, Maharashtra, India. Email: vivekchavan6111@gmail.com

#### ABSTRACT

Background: The hallmark of COPD is airflow obstruction which is typically described by spirometry. Spirometry is a cost efficient method of ordering pulmonary function tests is to start with spirometry and then order further tests in a stepwise fashion to refine the diagnosis. Present study was aimed to study pulmonary function tests in patients of chronic obstructive pulmonary disease.

Material and Methods: Present study was Cross-sectional Observational Study, conducted in patients clinically diagnosed as COPD in OPD or admitted to our wards and having symptoms of COPD (dyspnea, chronic cough or sputum production).

Results: 100 COPD patients were studied, majority were from age group 50 to 59 years (41%) & age group 60 to 69 years (30%). The male to female ratio was 5.67:1. Mean post-bronchodilator FEV<sub>1</sub> % predicted of males in the group was  $53.81 \pm 19.29$ ) whereas mean FEV<sub>1</sub> % predicted of females in the group was  $51.26 \pm 15.96$ . Mean post-bronchodilator FEV<sub>1</sub>/FVC ratio of males in the group was  $0.433 \pm 0.156$  whereas mean FEV<sub>1</sub>/FVC ratio of females in the group was  $0.433 \pm 0.156$  whereas mean FEV<sub>1</sub>/FVC ratio of females in the group was  $0.411 \pm 0.128$ . 15 patients were in GOLD Stage I (FEV1  $\geq$  80% predicted) ,34 patients were in Stage II (50%  $\leq$  FEV1 < 80% predicted),36 patients were in stage III (30%  $\leq$  FEV1 < 50% predicted) whereas 15 patients were in stage IV(FEV1 < 30%). The difference between mean post-bronchodilator reversibility in FEV1 (in percentage) in patients in GOLD stage 1 vs stage 2 vs stage 3 vs stage 4 (applying ANOVA) was not found to be statistically significant (P=.869>0.05).

Conclusion: Spirometry is important tool in confirming and assessing severity of airway obstruction in COPD patients. Majority of COPD patients were in stage 2 & 3 (GOLD) of airway obstruction confirmed by spirometry.

# Keywords: COPD, Spirometry, airway obstruction, bronchodilator.

# INTRODUCTION

Chronic Obstructive Pulmonary disease (COPD) is a clinical diagnosis that should be based on careful history taking, the presence of symptoms and assessment of airway obstruction (also called airflow limitation). Worldwide, COPD affects 329 million people or nearly 5% of the population. In 2011, it ranked as the fourth leading cause of death, killing over 3 million people.<sup>[1]</sup> The number of deaths is projected to increase due to higher smoking rates and an ageing population in many countries.<sup>[2]</sup>

The hallmark of COPD is airflow obstruction which is typically described by spirometry. The GOLD international COPD guidelines,<sup>[3]</sup> as well as national guidelines,<sup>[4]</sup> advise spirometry as the gold standard for accurate and repeatable measurement of lung function. Key parameters obtained from spirometry include FEV<sub>1</sub> and the total volume of air exhaled during the entire spirometer maneuver [forced vital capacity (FVC)]. In patients of COPD, pulmonary function testing shows airflow obstruction with a reduction in FEV<sub>1</sub> and FEV<sub>1</sub>/FVC.<sup>[5,6]</sup>

In the outpatient setting, in which several days to weeks are available to make the diagnosis, a cost efficient method of ordering pulmonary function tests is to start with spirometry and then order further tests in a stepwise fashion to refine the diagnosis.<sup>[7]</sup> Present study was aimed to study pulmonary function tests in patients of chronic obstructive pulmonary disease.

# MATERIAL AND METHODS

Present study was Cross-sectional Observational Study, conducted in Department of General Medicine, D.Y. Patil Medical College, Hospital & Research Institute, Kolhapur, India. Study duration was of 2 years (July 2013 to June 2015). Study was approved by institutional ethical committee.

#### **Inclusion criteria**

• Patients clinically diagnosed as COPD in OPD or admitted to our wards and having symptoms of COPD (dyspnea, chronic cough or sputum production).

#### **Exclusion criteria**

- Patients who were suffering from tuberculosis (acid fast bacilli smear positive or negative). Bronchiectasis, pneumonia, hemoptysis, pneumothorax, lung cancer, interstitial lung diseases, occupational lung diseases.
- Patients with Respiratory failure, severe cardiovascular disease or clinically unstable.
- Patients who had recent history of eye surgery, retinal detachment, or myocardial infarction within 1 month
- Patients who after performing spirometry:- showed post bronchodilator FEV1/FVC less than 0.7 and significant reversibility (improvement of 12% and 200 ml of FEV1)/ showed restrictive pattern on spirometry (FVC below 80% predicted, FEV1/FVC ratio normal above 0.7).
- Patients not willing to participate

An informed consent was obtained from each patient before inclusion in the study. Detailed history of all patients was taken that included severity of symptoms, duration of illness,

detailed smoking status, and exposure to domestic fuels and occupational history. Past history was also taken thoroughly to exclude past history of tuberculosis or lung cancer along with history of co-morbidities like diabetes and hypertension. Clinical examination with examination of respiratory system & Chest X-ray PA view was done in all patients. Routine blood investigations were done. Those patients who were already on bronchodilators were asked to withhold it for one day prior spirometry tests. Pre and post bronchodilator (400 µg salbutamol through metered dose inhaler) spirometry using computerised spirometer Helios 401 of all the patients was done at interval of 20 minutes after bronchodilator administration. Patients without significant reversibility were selected and their pre & post-bronchodilator FEV<sub>1</sub>% predicted and FEV<sub>1</sub>/FVC were observed. They were classified to pre & post-bronchodilator GOLD staging in stage 1 (FEV<sub>1</sub>  $\geq$  80%), stage 2 (FEV<sub>1</sub>  $\geq$  50% and <80%), stage 3 (FEV1  $\geq$  30 and 50%), and stage 4 (FEV<sub>1</sub> < 30%).

Data was collected and compiled using Microsoft Excel, analysed using SPSS 23.0 version. Frequency, percentage, means and standard deviations (SD) was calculated for the continuous variables, while ratios and proportions were calculated for the categorical variables. Difference of proportions between qualitative variables was tested using chisquare test or Fisher exact test as applicable. P value less than 0.5 was considered as statistically significant

## RESULTS

100 COPD patients were studied, majority were from age group 50 to 59 years (41%) & age group 60 to 69 years (30%). In our study total number of male patients was 85(85%) & females were 15(15%). The male to female ratio was 5.67:1. In our study 32 patients had hypertension, 27 patients had diabetes. Out of these, 15 patients had both hypertension and diabetes. 3 patients had congestive cardiac failure. In our study out of total 85 males, 82 had history of smoking were as all 15 females were nonsmokers.

Age (Years)	Frequency (n)	Percentage (%)
30-39	1	1
40-49	18	18
50-59	41	41
60-69	30	30
70-79	10	10
Sex		
Male	85	85
Female	15	15
Co-morbidities		
Hypertension	32	32
Diabetes	27	27
Hypertension & Diabetes	15	15
Congestive cardiac failure	3	3
History of Smoking	82	82

Common symptoms noted were cough with expectoration (100 %), breathlessness (97 %), fever (20 %), swelling of feet (14 %) & pain in chest (4 %).

Clinical Symptoms	No of Patients (Freq)	Percentage (%)
Cough with expectoration	100	100
Breathlessness	97	97
Fever	20	20
Swelling of feet	14	14
Pain in chest	4	4

## Table 2: Clinical Symptoms

In present study, common clinical findings were cyanosis (25 %), pallor (16 %), pedal edema (14 %), clubbing (6 %) & icterus (2 %). Respiratory Rate was < 20/min, 20-25/min & >25/min in 12 %, 55 % & 33 % cases respectively.

Signs	Number of Patients	Percentage
Cyanosis	25	25
Pallor	16	16
Pedal edema	14	14
Clubbing	6	6
Icterus	2	2
Respiratory Rate		
<20	12	12
20-25	55	55
25	33	33

#### Table 3: Clinical findings

Mean pre-bronchodilator FEV<sub>1</sub> % predicted of males in the group was  $50.49 \pm 18.16$  whereas mean FEV<sub>1</sub> % predicted of females in the group was  $47.93 \pm 14.41$ , difference was not significant statistically. Mean pre-bronchodilator FEV<sub>1</sub>/FVC ratio of males in the group was  $0.408 \pm 0.147$  whereas mean FEV<sub>1</sub>/FVC ratio of females in the group was  $0.388 \pm 0.115$ , difference was not significant statistically.

Mean post-bronchodilator FEV1 % predicted of males in the group was  $53.81 \pm 19.29$  whereas mean FEV1 % predicted of females in the group was  $51.26 \pm 15.96$ , difference was not significant statistically. Mean post-bronchodilator FEV1/FVC ratio of males in the group was  $0.433 \pm 0.156$  whereas mean FEV1/FVC ratio of females in the group was  $0.411 \pm 0.128$ , difference was not significant statistically.

Characteristics	Male	Female	P Value
Mean Pre-bronchodilator FEV1 % Predicted	$50.49 \pm 18.16$	$47.93 \pm 14.41$	.606 (NS)
Mean Pre-bronchodilator FEV1/FVC Ratio	$.408 \pm 0.147$	$0.388\pm0.115$	.618 (NS)
Mean Post-bronchodilator FEV1 % Predicted	53.81 ± 19.29	$51.26 \pm 15.96$	.630(NS)
Mean Post-bronchodilator FEV1/FVC Ratio	$0.433 \pm 0.156$	$0.411\pm0.128$	.607(NS)

## **Table 4: Spirometry findings**

Mean post-bronchodilator FEV<sub>1</sub> % predicted of males in the group was 53.81  $\pm$  19.29) whereas mean FEV<sub>1</sub> % predicted of females in the group was 51.26  $\pm$  15.96. Mean post-bronchodilator FEV<sub>1</sub>/FVC ratio of males in the group was 0.433  $\pm$  0.156 whereas mean FEV<sub>1</sub>/FVC ratio of females in the group was 0.411  $\pm$  0.128.

	Pre-bronchodilator	Post-bronchodilator	P Value
FEV1 % predicted	50.11 ± 17.61	$53.43 \pm 18.78$	.199 (NS)
FEV1/FVC	$0.406 \pm 0.142$	$0.429 \pm 0.152$	.270 (NS)

 Table 5: Comparison of Pre & Post bronchodilator values

15 patients were in GOLD Stage I (FEV1  $\ge$  80% predicted) ,34 patients were in Stage II (50%  $\le$  FEV1 < 80 % predicted),36 patients were in stage III (30%  $\le$  FEV1 < 50 % predicted) whereas 15 patients were in stage IV( FEV1 < 30%). The difference between mean post-bronchodilator reversibility in FEV1 (in percentage) in patients in GOLD stage 1 vs stage 2 vs stage 3 vs stage 4 (applying ANOVA) was not found to be statistically significant (P=.869>0.05).

Gold Stage	Number of Patients	Post-bronchodilator reversibility in FEV1(in percentage)
	1.7	
I: Mild	15	$6.86 \pm 2.66$
II: Moderate	34	$7.08 \pm 2.78$
III: Severe	36	$6.52 \pm 2.78$
IV: Very Severe	15	$6.8 \pm 2.88$
P Value	.869 (NS)	
Total	100	6.81 ± 2.75
P Value	.948 (NS)	

Table 6: Mean post-bronchodilator reversibility in FEV1 (in percentage)

# DISCUSSION

The development of COPD is slow and insidious and symptoms tend to be noted by patients only after there has been a significant loss of lung function, often to 50-60% of predicted value. COPD is markedly under-diagnosed, with recent estimates of between 25 and 50% of patients with clinically important disease being undetected or misdiagnosed. Primary care physicians are in an ideal position to be able to detect COPD in its early stages and perform spirometry to confirm the diagnosis.<sup>[8,9]</sup>

In our study maximum number of patients was in the age group 50-59 years (41%) and 60-69 years (34%). Only one patient was there in age group 30-39 years (1%). Overall maximum patients were in  $6^{\text{th}} \& 7^{\text{th}}$  decade of their lives. This indicated that prevalence of COPD is maximum in patients more than 50 years of age which was consistent with the previous literatures.<sup>[10]</sup>

In our study number of males was 85 (85%) whereas number of females was 15 (15%). Male to Female ratio was 5.67:1. In study of Mrinmoy Mitra etal,<sup>[11]</sup> all patients were males. In a

study conducted on COPD patients by S.K. Veettil etal,<sup>[12]</sup> male to female ratio was 7:1 whereas that in study conducted by Mohan etal,<sup>[13]</sup> was 7.3:1. However male to female ratio in study conducted by N.K. Jain etal,<sup>[14]</sup> was 2.36:1. Our study only confirmed the findings of these previous studies conducted that males accounted for the majority of the disease burden. In our study 82 out of 85 males had history of smoking either bidis or cigarettes. None of the females were smokers however history of exposure to domestic fuels were present in all the females. Almost similar findings were there in a study conducted by Mohan A etal,<sup>[13]</sup> were all the males were smokers whereas none of the females smoked. Smoking continues to be an important risk factor in the development of COPD among males in the developing countries.<sup>[15]</sup>

In a study conducted by N.K. Jain etal,<sup>[14]</sup> on COPD patients mean post- bronchodilator FEV<sub>1</sub>% predicted of the patients was  $46.93 \pm 14.32$ ). Mean post-bronchodilator FEV<sub>1</sub>% predicted of males in the study was  $48.42 \pm 14.52$ ) whereas mean post- bronchodilator FEV<sub>1</sub>% predicted of females in the group was  $43.39 \pm 13.28$ ). Difference between mean post-bronchodilator FEV<sub>1</sub>% predicted of males vs females was found to be statistically significant (P<0.001). Mean post- bronchodilator FEV<sub>1</sub>% predicted of the patients in the study conducted by C.R. Jenkins etal,<sup>[16]</sup> on COPD patients was  $44.3 \pm 13.4$ . The difference between findings in our study and above mentioned studies may be due smaller sample size of our study and relatively small number of females in our study. So a larger cohort study is required to find the actual difference of severity of airway obstruction in COPD between males and females.

On comparison the difference between pre & post- bronchodilator  $FEV_1$  % predicted was not found to be statistically significant (P=.199>0.05). Neither the difference between pre & post-bronchodilator  $FEV_1$ / FVC ratio was found to be statistically significant (P=.270>0.05). So spirometry showed that there was no significant reversibility in airway obstruction using bronchodilators. So our study using spirometry confirmed the fact that COPD characterized by airflow limitation that is not fully reversible,<sup>[17]</sup> and this non- reversibility is the hallmark of COPD confirmed by spirometry.

In our study 15 (15%) patients were in Stage 1, 34 (34%) patients were in Stage 2, 36 (36%) patients were in Stage 3 &15 (15%) patients were in Stage 4 of GOLD. Almost similar distribution of patients in different stages of GOLD was found by Mrinmoy Mitra etal,<sup>[11]</sup> in their study where maximum number of patients were in stage 2 & 3 of GOLD. Among 101 study population, 18 (17.8%) patients were in stage 1, 32 (31.7%) in stage 2, 29 (28.7%) in stage 3, and 22 (21.8%) in stage 4 of GOLD. C.R. Jenkins etal,<sup>[16]</sup> in their study found that nil patients were in GOLD stage 1, while stage 2, 3 and 4 had 35.2%, 49.4% and 15.3% of the patients respectively.

C.R. Jenkins etal,<sup>[16]</sup> in their study on COPD patients found mean post-bronchodilator reversibility in FEV<sub>1</sub> (in percentage) of their group was  $3.7 \pm 3.7$  while mean post-bronchodilator reversibility in FEV<sub>1</sub> (in percentage) of their patients in stage 2,3 & 4 of GOLD was  $4.3 \pm 4.0$ ,  $3.6 \pm 3.6 \& 2.5 \pm 3.2$  respectively. However on comparison the difference between mean post-bronchodilator reversibility in FEV<sub>1</sub> (in percentage) in patients in GOLD stage 2 vs stage 3 vs stage 4 (applying ANOVA) was found to be statistically significant (P=.000>0.05) so was the case with difference between the same between whole

group vs patients in GOLD stage 2 vs stage 3 vs stage 4 (applying ANOVA) which was also statistically significant (P=.000>0.05).

So our study showed that there is no significant difference in reversibility of obstruction by bronchodilator confirmed by spirometry among patients in different stages of GOLD severity of COPD whereas above mentioned study showed that there is a significant difference in reversibility of obstruction by bronchodilator among patients in different stages of GOLD and reversibility by bronchodilator goes on decreasing with increasing GOLD severity staging of COPD.

Patients of COPD have definite airway obstruction which can be confirmed by spirometry and their degree of airway obstruction can be easily assessed by spirometry. Spirometry confirmed that there was no significant reversibility in airway obstruction and majority of COPD patients were in stage 2 & 3 (GOLD) of airway obstruction. It was also confirmed by spirometry that degree of airway obstruction increases with age of COPD patients. Moreover, our study was cross-sectional study and we believe longitudinal study is also required to study clinical profile of COPD patients and assess their degree of airway obstruction & reversibility using spirometry.

# CONCLUSION

COPD is mostly prevalent in 5<sup>th</sup> & 6<sup>th</sup> decade of life. Majority of patients are males and smokers. Spirometry is important tool in confirming and assessing severity of airway obstruction in COPD patients. There is no significant reversibility by bronchodilator in airway obstruction in COPD confirmed by spirometry. Majority of COPD patients were in stage 2 & 3 (GOLD) of airway obstruction confirmed by spirometry. Severity of airway obstruction confirmed by spirometry increases with increasing age of patients.

#### REFERENCES

- 1. The 10 leading causes of deaths in the world, 2000 and 2011.WHO July 2013.Retrieved November 29,2013.
- 2. Mathers CD, Loncar D (November 2006)."Projection of Global Mortality and Burden of Disease from 2002 to 2030".
- 3. Global Initiative for Chronic Obstructive Lung Disease. Global strategy for the diagnosis, management, and prevention of chronic obstructive pulmonary disease. (Updated 2007).
- 4. National Collaborating Centre for Chronic Conditions. Chronic obstructive pulmonary disease:national clinical guideline on management of chronic obstructive pulmonary disease in adults inprimary and secondary care. Thorax 2003, 59 (Suppl 1); 1- 232.
- 5. HUNNINGHAKE GM et al: MMP12, lung functions, and COPD In high-risk populations. N Eng J Med 361:2599, 2009.
- 6. RABE KF et al: Global strategy for the diagnosis, management and prevention of chronic obstructive pulmonary diease: GOLD executive summary. Am J Respir Crit Care Med.
- 7. Crapo, RO. Pulmonary function testing, N Engl J Med 1994; 331:25

- Dales RE, Vandemheen KL, Clinch J, et al. Spirometry in the primary care setting. Influence on clinical diagnosis and management of airflow obstruction. Chest 2005, 128; 2443 – 7.
- 9. Enright P. Does screening for COPD by Primary Care Physicians have the potential to cause more harm than good? Chest 2006, 129; 833-4.
- Sullivan SD, Ramsey SD, Lee TA. The economic burden of COPD. Chest 2000;117:5-9S
- 11. Mrinmoy Mitra et al. A study of correlation between body mass index and GOLD staging of chronic obstructive pulmonary disease patients. The Journal of Association of Chest Physicians Vol 1 Issue 2 Year 2013 Pg 58-61.
- 12. S.K. Veettil et al Study of Drug Utilization Pattern for Acute Exacerbation of Chronic Obstructive Pulmonary Disease in Patients Attending a Government Hospital in Kerala, India J Family Med Prim Care. 2014 Jul-Sep; 3(3): 250–254.
- 13. Mohan et al, Clinical presentation and predictors of outcome in patients with severe acute exacerbation of chronic obstructive pulmonary disease requiring admission to intensive care unit. BMC Pulm Med. 2006 Dec 19;6:27.
- 14. Jain NK, Thakkar MS, Jain N, Rohan KA, Sharma M. Chronic obstructive pulmonary disease: Does gender really matter? Lung India 2011;28:258-62.
- 15. Siddharth N. Chronic obstructive pulmonary disease (COPD).JAPI 2012;58(60):58.
- 16. Christine R Jenkins et al., Efficacy of salmeterol/fluticasone propionate by GOLD stage of chronic obstructive pulmonary disease: analysis from the randomised, placebocontrolled TORCH study. Respiratory Research 2009, 10:59
- Reilly, John J.; Silverman, Edwin K.; Shapiro, Steven D. (2011). "Chronic Obstructive Pulmonary Disease". In Longo, Dan; Fauci, Anthony; Kasper, Dennis; Hauser, Stephen;Jameson, J.; Loscalzo, Joseph. Harrison's Principles of Internal Medicine (18th ed.). McGraw Hill. pp. 2151–9.